

### **Terms & Conditions for Appointment and Authorization of UAB Securities Limited**

In appointing the UAB Securities Limited (the "Company") as a securities trading agent/broker and/or in appointing the Company to act as an agent and/or to undertake the matters relating securities trading, I agree to abide with the Company's Terms and Conditions for Securities Trading and Services ("Terms and Conditions"), a copy of which has been forwarded to me.

I confirm that I have read and understood the Terms and Conditions for Securities Trading and Services and that a copy of the document has been received by me.

### **Appointment and Authorization**

I hereby agree to appoint and authorize the Company to act as my agent and/or broker in the purchase and/or sale of securities under all the securities trading accounts I have or will open with the Company, whether such purchase and/or sale is made via any system that the Yangon Stock Exchange ("Exchange") has arranged and whether such system is currently existing or is to be specified later on. In this regard, I agree to pay the Company, the remuneration at the rate prescribed by the Company and proportionally upon the number of securities being purchased and/or sold under such instruction, including any applicable tax, fee and expense (hereinafter referred to as the "**Remuneration**").

I hereby consent that the Company may change the fee and/or the brokerage fee in the securities trading as required under the rules of the Exchange or as designated by the Company.

In the foregoing appointment and authorization, I hereby confirm that he/she will be bound by the Terms and Conditions.

The above authorization shall also include the following:

1. To purchase, sell, transfer, take transfer and subscribe all types of securities, including to deliver, take delivery of, possess, take care of or hold such securities
2. To pay and be paid for purchase or sale price of securities, including fees, taxes, duties, expenses relating trading or transfer of securities, as well as to receive dividends, interests or other proceeds arising from the securities; and to deduct taxes applicable and further forward to the Revenue Department or relevant authorities
3. To deposit, withdraw the securities and assets relating the trading, or to transfer the securities, under the Terms and Conditions, deposited at the Depository, including to take care of the proceeds arising from such Client's securities and assets
4. To execute and/or sign any letter, document or instrument or undertake any matter relating the above clauses (1) to (3) on behalf of me as necessary or appropriate under the Terms and Conditions

5. To appoint agent or sub-authorize any person to undertake any matter on behalf of the Company under the authorities given under the Terms and Conditions; and
6. To undertake any other act necessary or appropriate for and on behalf of me, in order to fulfill the objectives of the Terms and Conditions.

I further confirm the opening of a bank account (“Bank Share Trading Account”) with United Amara Bank Limited (“Bank”) and the authorization of the Company to make enquires to the Bank of the balances in the Bank Share Trading Account and to take up the following action:

1. **Upon a Buy order**

1.1. On placement of a buy order by a Client to the Company, I will deposit sufficient funds into my Bank Share Trading Account with the Bank in order to pay for the order.

1.2. On successful execution of the buy order stated above, the Company will instruct the Bank to transfer the amount from my Bank Share Trading account to the Company’s account for onward payment.

2. **Upon a Sell order**

On placement of a sell order by me and successful execution of the order, the Company will deposit the proceeds into the Bank Share Trading Account with the Bank.

3. **Excess monies in Bank Share Trading account**

Where there are excess monies in the Bank Share Trading Account, I may instruct the Company to transfer these excess monies into my personal or business account with the Bank. The Company upon receipt of such instructions will request the Bank to make the transfer

**Date, Signature and Name of Client**

*Signature:* .....

*Name:* .....

*Date:* .....